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| Form PTO-1390<br>(Rev. 01-2003)   |  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTORNEY'S DOCKET NUMBER<br>SAE-036                       |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371  |  |  | U.S. APPLICATION NO.<br>Not yet assigned <b>10/516621</b> |
| INTERNATIONAL APPLICATION NO.<br>PCT/JP03/07389   | INTERNATIONAL FILING DATE<br>June 11, 2003 | PRIORITY DATE CLAIMED<br>June 14, 2002                     |   |
| TITLE OF INVENTION<br>AQUEOUS RESIN DISPERSION COMPOSITION AND PROCESS FOR PRODUCING THE SAME   |  |  | DATE<br>December 3, 2004                                  |
| APPLICANT(S) FOR DO/EO/US<br>Tatsuo TSUNEDA, Takafumi MASUDA, Shoji MAEKAWA and Kazuhiro HATANO   |  |  |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |  |   |
| <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). (19 pages). <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</li> <li>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> <li>a. PCT/RO/101</li> <li>b. PCT/ISA/210</li> <li>c. PCT/IB/304</li> <li>d. PCT/IB/308</li> <li>e. WO 03/106555 A1 (cover page)</li> </ol> </li> </ol> |  |  |   |

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| U.S. Application No.<br>Not yet assigned<br><div style="font-size: 2em; font-weight: bold; margin-top: 10px;">10/516621</div>  |              | International Application No.<br>PCT/JP03/07389 |   | Attorney's Docket No.<br>SAE-036 |              |
|  |              |   |   | CALCULATIONS                     | PTO USE ONLY |
| 21. [XX] The following fees are submitted:<br><br>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or the JPO . . . \$1,110.00<br><br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO . . . \$950.00<br><br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$790.00<br><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4). . \$750.00<br><br>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) . . . \$100.00<br><br><div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div> |              |   |   | \$ 950.00                        |              |
| Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |   |   |                                  |              |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA                                    | RATE  |                                  |              |
| Total claims   | 11 - 20      |   | x \$ 18.00  | \$ 0.00                          |              |
| Indep. claims  | 2 - 3        |   | x \$ 88.00  | \$ 0.00                          |              |
| Multiple dependent claim(s) (if applicable)  |              |   | + \$ 300.00   | \$ 0.00                          |              |
| TOTAL OF ABOVE CALCULATIONS =  |              |   |   | \$ 950.00                        |              |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  |              |   |   | \$ 0.00                          |              |
| SUB TOTAL =  |              |   |   | \$ 950.00                        |              |
| Processing fee \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |              |   |   | \$ 0.00                          |              |
| TOTAL NATIONAL FEE =   |              |   |   | \$ 950.00                        |              |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +   |              |   |   | \$ 40.00                         |              |
| TOTAL FEES ENCLOSED =  |              |   |   | \$ 990.00                        |              |
|  |              |   |   | Amount to be refunded:           | \$           |
|  |              |   |   | charged                          | \$           |
| a. [XX] Check in the amount of <u>\$990.00</u> to cover the above fees is enclosed.<br><br>b. [ ] Please charge my Deposit Account No. <u>111833</u> in the amount of \$_____ to cover the above fees.<br><br>c. [XX] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>111833</u> .<br><br>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to review (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.  |              |   |   |                                  |              |
| SEND ALL CORRESPONDENCE TO:<br>KUBOVCIK & KUBOVCIK<br>The Farragut Building<br>Suite 710<br>900 17th Street, N.W.<br>Washington, D.C. 20006  |              |   | <div style="text-align: center;"> </div> <div style="text-align: center;">           Signature<br/>           Keiko Tanaka Kubovcik<br/>           Name<br/>           40,428<br/>           Registration Number<br/>           December 3, 2004<br/>           Date         </div> |                                  |              |

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